

Fees shown below are subject to change.

| <b>Sponsor Benefits &amp; Fees</b><br><small>*Astoria provides Early Bird Rates if sponsorship is confirmed before October 11, 2017</small> | Sponsorship Type | DIAMOND     | PLATINUM                 | GOLD                   |
|---|------------------|-------------|--------------------------|------------------------|
|   | Earlybird Rates  | US\$24,800  | US\$17,400               | US\$14,400             |
|   | Standard Rates   | US\$28,900  | US\$20,200               | US\$16,800             |
| <b>Standard Model:</b>  |                  | 45' Keynote | 35' workshop             | 35' panel              |
| <b>Alternative Model:</b>   |                  |             | 45' panel w/3-4 speakers | 45' panel w/2 sponsors |
| Ideal for a keynote speech with Q&A time  |                  | ✓           | ✓                        |                        |
| Ideal for a panel with you + multiple guest speakers w/a moderator  |                  |             | ✓                        |                        |
| Ideal for a panel with you + a guest speaker w/a moderator  |                  |             |                          | ✓                      |
| Double-sponsor panel w/a moderator (45' panel)  |                  |             |                          | ✓                      |
| Influencing the choice of discussion theme at workshop  |                  | ✓           | ✓                        | ✓                      |
| Two one-page ads in conference program  |                  | ✓           |                          |                        |
| One full page ad in conference program  |                  |             | ✓                        | ✓                      |
| Priority branding and placement - signage, advertising, program   |                  | ✓           |                          |                        |
| Conference Passes – for own use & for LP guests (Type 1 Delegates)  |                  | 5 each      | 4 each                   | 3 each                 |
| Access to exhibit table in networking area  |                  | ✓           | ✓                        | ✓                      |
| Promotion to the 1,400+ person Astoria mailing list   |                  | ✓           | ✓                        | ✓                      |
| Branding on conference signage  |                  | ✓           | ✓                        | ✓                      |
| <b>*Astoria provides Early Bird Discount (if sponsorship application is confirmed before October 13, 2016)</b>                              |                  |             |                          |                        |
| <b>All sponsorship fees shown above are subject to 8% consumption tax in Japan.</b>   |                  |             |                          |                        |

| <b>Additional Sponsorship Packages</b><br><small>*Astoria provides Early Bird Rates if sponsorship is confirmed before October 11, 2017</small>  | Sponsorship Type                                |
|--|---|
|  | Earlybird Rates<br>Standard Rates               |
| <b>Become an Advertiser:</b> Includes one full page ad in conference program; 2 passes for the sponsor; 2 passes for LP guests (Type 1 delegates)  | <b>SILVER</b><br>US\$5,200<br>US\$6,000         |
| <b>Participate in a Rapid fire presentation</b> (for up to 8 minutes/speaker): Includes 1 pass for the speaker; additional pass may be obtained at a discount rate (30% off regular GP rate) | <b>BRONZE</b><br>US\$3,950<br>US\$4,600         |
| <b>The following packages may be taken with any of the sponsorship classes offered by Astoria.</b>   |   |
| <b>LANYARD PACKAGE</b> - Includes 1 additional conference pass for the sponsor (lanyards supplied by sponsor)  | <b>LANYARD</b><br>US\$3,500<br>US\$4,000        |
| <b>PROGRAM PACKAGE #1</b> – One-page ad to be placed inside front cover page (pages 2) , and 1 additional conference pass for the sponsor  | <b>FRONT COVER AD</b><br>US\$3,900<br>US\$4,500 |
| <b>PROGRAM PACKAGE #2</b> – One-page ad to be placed inside back cover page, and 2 additional conference passes for the sponsor  | <b>BACK COVER AD</b><br>US\$2,600<br>US\$3,000  |
| <b>Networking Lunch Sponsorship</b> – Acknowledgement speech by sponsor, or a speech by an invited guest speaker, includes two additional conference passes for the sponsor                  | <b>LUNCH SPONSOR</b><br>US\$9,500<br>US\$11,000 |
| <b>All sponsorship fees shown above are subject to 8% consumption tax in Japan.</b>  |   |

**Registration Fee Schedule Including Taxes**

|                                 |   |  |
|---------------------------------|---|--|
| <input type="checkbox"/> Type 1 | Invitations from Sponsors and Supporting Organizations: Pension Fund Investor, Institutional Investors (balance sheet investors), Pension Fund Consultants, Trust Banks, and FSA licensed Discretionary Investment Managers (who can accommodate external sub-advisors (FOF Managers)   | Comp Invitation                              |
| <input type="checkbox"/> Type 2 | Paid Registration to AIF2017: Foreign or Domestic GPs/FOF Managers/Advisors & their Japanese branch offices, FSA licensed Financial Instruments Businesses or Investment Advisors, Lawyers, Accountants, FSA Licensed DIM who works exclusively with an affiliate advisor (FOF Manager) | US\$1,944.00/<br>Multi Pass:<br>US\$1,555.00 |
| <input type="checkbox"/> Type 3 | Guest Invitation by Astoria:<br>Any Type 1 qualified entities who wish to be invited by Astoria.  | Comp Invitation                              |

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# Astoria Investor Forum 2017

## WORKING AGENDA – December 7, 2017

| Approx. Time | Events and Programs – DAY 1   | Availability of Sponsor Engagements                    |
|--------------|---|--|
| 0800 – 0900  | <b>LP Only Workshop</b>   | <b>Non-sponsored</b>                                   |
| 0900 – 1030  | Morning Sessions  | Sponsored Sessions                                     |
| 1030 – 1100  | Short Networking Break 1  | Possible Sponsored Break w/ a short speech - 8' x 1    |
| 1100 – 1230  | Mid-Morning Sessions  | Sponsored Sessions                                     |
| 1230 – 1330  | Networking Lunch  | Possible Sponsored Lunch w/two short speeches - 8' x 2 |
| 1330 – 1500  | Early Afternoon Sessions  | Sponsored Sessions                                     |
| 1500 – 1530  | Short Networking Break 2  | Possible Sponsored Break w/ a short speech - 8' x 1    |
| 1530 – 1700  | Late Afternoon Sessions   | Sponsored Sessions                                     |
| 1700 – 1800  | LP or Fiduciary Session<br>(LP session will be a non-sponsored session) | Possible Sponsored Sessions                            |
| 1800 – 1930  | Networking Reception  | Networking Sponsor w/ a short speech – 15'             |

### How a Typical Session is Structured:

#### Diamond Keynote Address

Session Length: 45 min.  
Up to 35 min. Speech  
Q&A for 10 min. or more

#### Platinum Workshop

Session Length: 35 min.  
15 min. -- Presentation  
10 min. -- Q&A

#### Panel Session: Platinum and Gold

Session Length: 50 to 35 minutes  
Between 3 to 5 speakers are invited.  
The panel session shall consist of interactive discussions among the panelists (no monologue presentation will be rendered during the panel)

#### Sponsor Speech or Rapid Fire Presentation:

Length: 8 minutes to 15 minutes (during networking reception)  
Rapid fire presentations may be schedule during the networking break time as well as during the progrfam.

### DOWNLOAD and review information on Astoria Investor Forum 2016

#### Post Conference Survey in English:

[www.astoriacg.com/pdf/AIF2016OnsiteSurveyESummary.pdf](http://www.astoriacg.com/pdf/AIF2016OnsiteSurveyESummary.pdf)

#### Post Conference Survey in Japanese:

[www.astoriacg.com/pdf/AIF2016OnsiteSurveyJSummary.pdf](http://www.astoriacg.com/pdf/AIF2016OnsiteSurveyJSummary.pdf)

#### Post Conference Report (presentation materials, photos and messages from speakers):

[www.astoriacg.com/pdf/AIF2016PostConferenceRpt\\_TakeAwayMsgs.pdf](http://www.astoriacg.com/pdf/AIF2016PostConferenceRpt_TakeAwayMsgs.pdf)

# Astoria Investor Forum 2017

## WORKING AGENDA – December 8, 2017

| Approx. Time | Events and Programs – DAY 2   | Availability of Sponsor Engagements                    |
|--------------|---|--|
| 0800 – 0900  | <b>LP Only Workshop</b>   | <b>Non-sponsored</b>                                   |
| 0900 – 1030  | Morning Sessions  | Sponsored Sessions                                     |
| 1030 – 1100  | Short Networking Break 1  | Possible Sponsored Break w/ a short speech - 8' x 1    |
| 1100 – 1230  | Mid-Morning Sessions  | Sponsored Sessions                                     |
| 1230 – 1330  | Networking Lunch  | Possible Sponsored Lunch w/two short speeches - 8' x 2 |
| 1330 – 1500  | Early Afternoon Sessions  | Sponsored Sessions                                     |
| 1500 – 1530  | Short Networking Break 2  | Possible Sponsored Break w/ a short speech - 8' x 1    |
| 1530 – 1630  | LP or Fiduciary Session<br>(LP session will be a non-sponsored session) | Possible Sponsored Sessions                            |
| 1630 – 1800  | Networking Reception  | Networking Sponsor w/a short speech – 15'              |

### How a Typical Session is Structured:

#### **Diamond Keynote Address**

Session Length: 45 min.  
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#### **Platinum Workshop**

Session Length: 35 min.  
15 min. -- Presentation  
10 min. -- Q&A

#### **Panel Session: Platinum and Gold**

Session Length: 50 to 35 minutes  
Between 3 to 5 speakers are invited.  
The panel session shall consist of interactive discussions among the panelists (no monologue presentation will be rendered during the panel)

#### **Sponsor Speech or Rapid Fire Presentation:**

Length: 8 minutes to 15 minutes (during networking reception)  
Rapid fire presentations may be schedule during the networking break time as well as during the progrfam.

### DOWNLOAD and review information on Astoria Investor Forum 2016

#### **Post Conference Survey in English:**

[www.astoriacg.com/pdf/AIF2016OnsiteSurveyESummary.pdf](http://www.astoriacg.com/pdf/AIF2016OnsiteSurveyESummary.pdf)

#### **Post Conference Survey in Japanese:**

[www.astoriacg.com/pdf/AIF2016OnsiteSurveyJSummary.pdf](http://www.astoriacg.com/pdf/AIF2016OnsiteSurveyJSummary.pdf)

#### **Post Conference Report** (presentation materials, photos and messages from speakers):

[www.astoriacg.com/pdf/AIF2016PostConferenceRpt\\_TakeAwayMsgs.pdf](http://www.astoriacg.com/pdf/AIF2016PostConferenceRpt_TakeAwayMsgs.pdf)

# Astoria Investor Forum Sponsorship Application Form

Please complete the following and return signed copy to Astoria:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Company: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Email: \_\_\_\_\_  
Billing Address: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Please enter **X** by indicating your preferred options below: (see [Sponsorship Brochure](#) for more details):

- DIAMOND Sponsorship (Keynote address) :
- PLATINUM Sponsorship (workshop or panel session sponsorship):
- GOLD Sponsorship (panel session sponsorship):
- Other Sponsorship Option (Please Specify: \_\_\_\_\_)

## Cancellation Policy

Should you be unable to attend, a substitute is always welcome at no extra charge. A full refund, less a service charge of 10%, is given for cancellations received in writing (letter or fax) eight weeks prior to the event. A 50% refund is given for cancellation received in writing six weeks prior to the event. Regrettably, no refunds can be made for cancellations received on or after four weeks to the conference date. However, a substitute is always welcome. Astoria reserves the right to alter the program without notice including substitutions, alterations or cancellations of speakers and/or topics and/or the alteration of the dates of the event. Astoria is not responsible for any loss or damages that may occur as a result of a substitution, alteration, postponement or cancellation of an event.

Confirmation Signature by \_\_\_\_\_ Date: \_\_\_\_\_  
Astoria Consulting Group, LLC

Your Sponsorship Fee: \_\_\_\_\_ (plus 8% consumption tax for Japan)  
(An invoice with the above amount will be issued separately)

Please sign & return to: Hal Morimoto, Astoria Consulting at [register@astoriacg.com](mailto:register@astoriacg.com) or send fax to :  
+81-3-6310-0778

Online registration for conference delegates will start from September 15, 2017 (Early-bird registration ends on October 14). A link to online registration will be provided from Astoria home page:  
[http://www.astoriacg.com/index\\_e.htm](http://www.astoriacg.com/index_e.htm)

## 個人情報保護方針

アストリアコンサルティンググループLLCおよび関連会社(以下、「アストリア」)は、皆様から提供された個人情報について、その重要性を認識し、個人情報の保護に関する法律および関係法令等を遵守することにより、個人情報の適正な収集・利用・安全管理を目指し、その実現のために以下の事項に取り組みます。

当イベントの企画・運営はアストリアが行い、個人情報の重要性を認識し、以下の取り組みを実施いたしております。

個人情報保護の重要性を強く認識し、適切な個人情報の収集、利用、提供、預託等を行います。

取り扱う個人情報の不正アクセス、個人情報の紛失、破壊、改ざんおよび漏えいなどの予防ならびに是正に対し、合理的で適切な情報セキュリティ対策を講じます。

社内体制の整備・改善、全社的な教育啓発活動等を実施します。

個人情報に関する法令及びその他の規範を遵守します。

取得した個人情報を以下の目的で利用いたします。

- ・「当イベント」の参加票を発送させていただくため。
- ・サービスの維持向上を図る、アンケート調査および分析をおこなうため。
- ・関連するサービスのご案内およびセミナー等のご案内をお送りするため。

業務上必要とする場合、取得した個人情報をグループ企業と共同利用する可能性があります。利用する場合は業務の遂行に必要な最小限の個人情報の項目を利用いたします。

・当社が取り扱うすべての個人情報に対し皆様が安心して預託提供できるよう十分な管理を行います。

アストリアコンサルティンググループLLC

代表 森本晴久

## 免責

アストリアインベスターフォーラム(AIF)は、アストリアコンサルティンググループLLC(アストリア)が日本で企画・開催するイベントです。アストリアは、ブレースメント(証券)業、証券ブローカー・ディーラー、マーケットメーカー、投資銀行・証券会社、投資顧問業など免許の対象となる事業や、アナリスト、アンダーライターなどのいずれの事業も行っておりません。アストリアは、AIFに講演者やパネリストを招き、参加者の前で情報提供のみを目的とした発表機会を提供します。また、投資ファンド、投資ファンドのマネージャーなどを参加者に対して推薦・保証するものではありません。

アストリアは、パネリストおよび協賛企業によって提供・紹介された情報について、その正確性、信頼性、事実性、完全性などを、いかなる状況(明記有り無しなどを含めて)においても保証するものではありません。そうした情報の全てまたは一部省略・脱落した情報等を、カンファレンス参加者が利用しても、その結果起りうる、あらゆる種類の包括的、直接・間接的損害や賠償の責任は持ちません。全ての講演者・パネリストには、金融商品取引法にて求められる法律の遵守が求められます。また、これら講演者・パネリストは、AIFの開催主旨に則り、AIF会場にて紹介する講演資料は、特定の投資顧問会社や個人の推薦であったり、金融商品の売買や、投資勧誘を目的とした勧誘資料ではない事が求められます。AIFにおいて使用されるプレゼンテーション資料は、ファンドビジネスについての情報や、投資ファンドのマネージャーが関与する投資ファンドの投資戦略、投資成績データなどは、あくまでも情報提供の一環として提供されるものであり、「特定投資家」(適格投資家またはそれに準ずる機関投資家、受託機関などの具体的運用サポートを得る機関投資家)による投資対象となるべきものです。一般投資家の投資対象ではありません。

年金(基金)などを含む非特定投資家(一般投資家)がAIFに参加する場合、AIF会場にて知りえる全ての情報は、教育的な情報収集の一環としての情報提供であり、投資を目的とした勧誘ではない事を事前承知したうえで、参加頂きます。

## Privacy Policy of Client Information

Astoria Consulting Group, LLC and Astoria Consulting Inc. (collectively referred to as "Astoria") takes the privacy to Astoria's clients seriously and is responsible for the privacy of information provided by all clients. Astoria complies with the privacy laws of Japan and we are committed to safeguarding the privacy of clients. The purpose of this Policy is to inform clients of Astoria group companies of our practices concerning the collection, use, access and security of personal information submitted by clients. If you have any questions whatsoever regarding your personal information or Astoria's privacy practices please contact us.

1) Astoria recognize the importance of protecting the privileged personal information. It shall seek appropriate means and methods to collect, use, provide and entrusting the information; 2) Astoria shall prevent miss-use of personal information including illegal access, loss, damages and alteration. Astoria shall seek and implement appropriate information security methods available, 3) Astoria shall conduct intra-company wide education to achieve and improve such information protection; 4) Astoria agrees to abide by the rules pertaining to information protection laws; 5) Astoria shall limit the use of personal information for the following specific purposes shown as follows: To send announcement of Astoria organized events; To conduct survey in order to improve the service contents of Astoria organized events; To send announcements of Astoria related events; and 6) Astoria may share personal contact information within the group companies. However, Astoria shall limit the application to work related usages. Astoria shall be in full compliance with the above listed guidelines.

Hal Morimoto, Managing Director, Astoria Consulting Group, LLC

## Disclaimer

Astoria Consulting Group, LLC (Astoria), which is the organizing entity of Astoria Investor Forum, is not a licensed placement agent, broker dealer, market maker, investment banker, investment advisor, analyst, or underwriter. Astoria invites fund managers to Astoria Investor Forum to give opportunities to speak in front of other participants strictly for informational purposes only. Astoria neither recommends nor endorses any fund managers or funds they manage to any participants who attend Astoria Investor Forum. Investing in alternative assets such as hedge fund, private equity funds or similarly structured investment funds is for qualified investors only and not the general public. No warranty or guarantee of any kind, expressed or implied, is given regarding the accuracy, reliability, veracity, or completeness of the information provided at the Astoria Investor Forum, and under no circumstances will the organizer of the conference be held responsible or liable for errors or omissions resulting in any loss or damage caused or alleged to be caused by information provided by the participants at the conference, including but not limited to direct, indirect, incidental, special or consequential damages caused by using the information. Presentation materials used for Astoria Investor Forum, which may include fund business information and general data concerning investment performances of participating fund managers, are for informational purposes only. Every participating fund manager is required to be in full compliance with Japanese laws and regulations and the fund managers are responsible for ensuring any part of their presentations is not construed as a solicitation or an offer to buy or sell any securities or related financial instruments nor an endorsement of any particular investment advisor firm or individual. Astoria receives a set sponsorship registration fee as per published from every fund manager that participates in Astoria Investor Forum sponsors/speakers. The payment received by Astoria is not intended for promotion or advertising of any fund managers.